

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
23 January 2003 (23.01.2003)

PCT

(10) International Publication Number  
**WO 03/007482 A1**

(51) International Patent Classification<sup>7</sup>: **H03M 7/30**

(21) International Application Number: PCT/US02/21548

(22) International Filing Date: 8 July 2002 (08.07.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/902,515 9 July 2001 (09.07.2001) US

(71) Applicant: **GOOD TECHNOLOGY, INC.** [US/US];  
1032 Morse Avenue, Sunnyvale, CA 94089 (US).

(72) Inventor: **COLLINS, Roger**; 121 San Mateo Way, Novato, CA 94945 (US).

(74) Agents: **MALLIE, Michael, J.** et al.; Blakely, Sokoloff, Taylor & Zafman LLP, 12400 Wilshire Boulevard, 7th Floor, Los Angeles, CA 90025 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,

CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

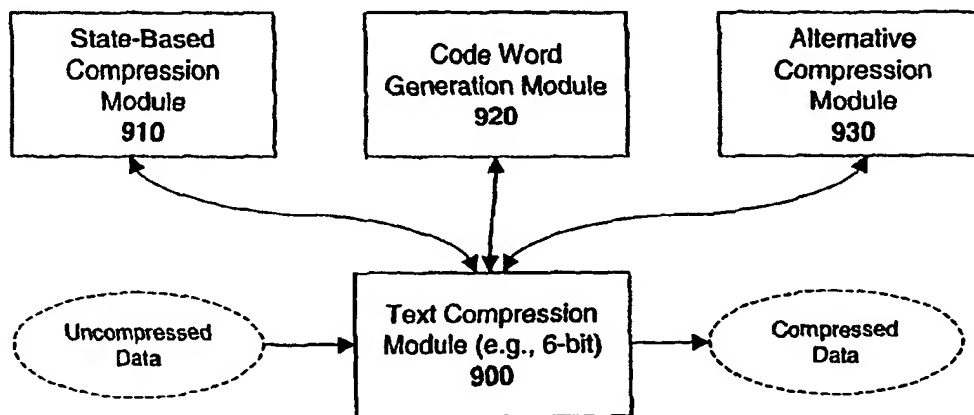
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SYSTEM AND METHOD FOR COMPRESSING DATA USING FIELD-BASED CODE WORD GENERATION



(57) Abstract: A method for compressing a message is described comprising: identifying a first field and a second field within the message; applying a first set of code words to encode data in the first field; and applying a second set of code words to encode data in the second field.

WO 03/007482 A1

## SYSTEM AND METHOD FOR COMPRESSING DATA USING FIELD-BASED CODE WORD GENERATION

### BACKGROUND

#### Field of the Invention

[0001] This invention relates generally to the field of network data services. More particularly, the invention relates to an apparatus and method for compressing data for transmission over a bandwidth-limited network.

#### Description of the Related Art

[0002] A variety of wireless data processing devices have been introduced over the past several years. These include wireless personal digital assistants ("PDAs") such as the Palm® VIIx handheld, cellular phones equipped with data processing capabilities (e.g., those which include wireless application protocol ("WAP") support), and, more recently, wireless messaging devices such as the Blackberry™ wireless pager developed by Research In Motion ("RIM").™

[0003] These devices employ various data compression techniques to compress data before transmitting the data over the wireless network (i.e., to conserve network bandwidth). Two such compression techniques are known as Huffman coding and Lempel-Ziv-Welch ("LZW") compression. Huffman coding is a statistical compression algorithm that converts characters into variable-length bit strings. Characters occurring more frequently are converted to relatively shorter bit strings; characters occurring less frequently are converted to relatively longer bit strings. Huffman compression is generally accomplished in two passes. In the first pass, the Huffman algorithm analyzes a block of data and creates a tree model based on its contents. In the second pass, the algorithm compresses the data using the tree model. During decompression, the variable length strings are decoded using the tree model.

[0004] LZW compression works by generating pointers which identify repeating blocks of data to reduce redundancy in the bitstream. For example, if the same 30-byte chunk of data occurs several times, the initial occurrence is preserved but any future occurrences are replaced by a pointer to the initial occurrence, thereby significantly reducing the bandwidth consumed by the bitstream (i.e., assuming that each pointer will be smaller than 30 bytes). Winzip,<sup>™</sup> the well-known file compression tool, employs a form of LZW compression.

[0005] There are numerous reasons why reducing the amount of data transmitted over a wireless network is important. Wireless networks are generally more bandwidth-limited than wired networks. As such, these networks can only concurrently support a limited number of devices transmitting at a given bitrate. The more the transmitted data can be compressed, the greater the number of devices which can concurrently communicate over the network.

[0006] Moreover, transmitting data from a wireless device consumes a significant amount of energy. As such, decreasing data transmissions will increase battery life on the device. In addition, because wireless carriers typically charge customers based on the amount of data transmitted (or by the amount of time spent "online" which is generally proportional to the amount of data transmitted), reducing the amount of transmitted data will result in a lower cost to the end user.

[0007] Accordingly, what is needed is a system and method which will provide greater compression than current compression techniques when transmitting data over a bandwidth-limited network.

## SUMMARY

[0008] A method for compressing a message is described comprising: identifying a first field and a second field within the message; applying a first

set of code words to encode data in the first field; and applying a second set of code words to encode data in the second field.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0009] A better understanding of the present invention can be obtained from the following detailed description in conjunction with the following drawings, in which:

[0010] FIG. 1 illustrates an exemplary network architecture used to implement elements of the present invention.

[0011] FIG. 2 illustrates one embodiment of a system for compressing data.

[0012] FIGS. 3a-c illustrate an exemplary sequence of related email messages.

[0013] FIG. 4 illustrates one embodiment of a method for compressing data using redundant data found in previous messages.

[0014] FIG. 5 illustrates one embodiment of an apparatus for performing state-based compression.

[0015] FIG. 6 illustrates one embodiment of a state-based data compression format.

[0016] FIG. 7 illustrates a code word table employed to compress data according to one embodiment of the invention.

[0017] FIG. 8 illustrates one embodiment of a method for compressing data with code words.

[0018] FIG. 9 illustrates a text compression module coordinating data compression tasks between a plurality of other compression modules.

[0019] FIG. 10 illustrates a compressed data format according to one embodiment of the invention.

## DETAILED DESCRIPTION

[0020] In the following description, for the purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be apparent, however, to one skilled in the art that the present invention may be practiced without some of these specific details. In other instances, well-known structures and devices are shown in block diagram form to avoid obscuring the underlying principles of the present invention.

### AN EXEMPLARY NETWORK ARCHITECTURE

[0021] Figure 1 illustrates one embodiment of a network architecture for implementing the compression techniques described herein. The "customer site" 120 illustrated in Figure 1 may be any local-area or wide-area network over which a plurality of servers 103 and clients 110 communicate. For example, the customer site may include all servers and clients maintained by a single corporation. The servers 103 may be configured to provide a variety of different messaging and groupware services 102 to network users (e.g., email, instant messaging, calendaring, . . . etc). In one embodiment, these services are provided by Microsoft Exchange.<sup>TM</sup> However, the underlying principles of the invention are not limited to any particular messaging/groupware platform.

[0022] In one embodiment of the invention, an interface 100 forwards data maintained by the service 102 (e.g., email messages, instant messages, calendar data, . . . etc) to a plurality of wireless data processing devices

(represented in Figure 1 by wireless device 130) via an external data network 170 and/or a wireless service provider network 171. For example, if the service 102 includes an email database, the interface 100 forwards any new emails which arrive in a user's mailbox on the service 102 to the user's wireless data processing device 130 (over the network(s) 170 and/or 171). Alternatively, or in addition, the service 102 may forward the email to the user's local computer (e.g., client 110) (i.e., so that the user will receive the email on his/her wireless device 130 when out of the office and on his/her personal computer 110 when in the office). Conversely, email messages sent from the user's wireless data processing device 130 are transmitted to the service 102 via the interface 100.

[0023] In one embodiment, the interface 100 is a plug-in software module adapted to work with the particular service 120. It should be noted, however, that the interface 100 may be implemented in hardware or any combination of hardware and software while still complying with the underlying principles of the invention.

[0024] In one embodiment, the external data network 170 is comprised of a plurality of servers/clients (not shown) and other networking hardware (e.g., routers, hubs, . . . etc) for forwarding data between the interface 100 and the wireless devices 130. In one embodiment, the interface 100 encapsulates data in one or more packets containing an address identifying the wireless devices 130 (e.g., such as a 24-bit Mobitex Access Number ("MAN #")). The external data network 170 forwards the packets to a wireless service provider network 171 which transmits the packets (or the data contained therein) over a wireless communication link to the wireless device 130. In one embodiment, the wireless service provider network is a 2-way paging network. However, various other network types may be employed (e.g., CDMA 2000, PCS, . . . etc) while still complying with the underlying principles of the invention.

[0025] It should be noted that the network service provider network 171 and the external data network 170 (and associated interface 100) may be owned/ operated by the same organization or, alternatively, the owner/operator of the external data network 170 may lease wireless services from the wireless service provider network. The underlying principles of the invention are not limited to any particular service arrangement.

#### STATE-BASED COMPRESSION EMBODIMENTS

[0026] Figure 2 illustrates certain aspects of the wireless data processing device 130 and the interface 100 in greater detail. In one embodiment, the data processing device 130 is comprised of a local data compression/decompression module 225 (hereinafter "codec module 225") and a local message cache 210. The local codec module 225 compresses outgoing data and decompresses incoming data using the various compression techniques described herein.

[0027] The local message cache 210 is comprised of an input queue 211 for temporarily storing a incoming messages and an output queue 212 for storing outgoing messages. Although illustrated as separate logical units in Figure 2, the local message cache 210 may be comprised of only a single block of memory for storing both incoming and outgoing messages according to a cache replacement policy. In one embodiment, messages are maintained in the input queue and/or output queue using a first-in, first-out ("FIFO") replacement policy. However, various other cache replacement techniques may be employed while still complying with the underlying principles of the invention. For example, a least-recently used ("LRU") policy may be implemented where messages used least frequently by the local codec module 225 are stored in the cache for a shorter period of time than those used more frequently. As described below, messages used more frequently by the local codec module 225 may frequently include messages which form part of a common email thread, whereas those used less frequently may include junk

mail or "spam" (i.e., for which there is only a single, one way message transmission).

[0028] The interface 100 of one embodiment is comprised of a remote data compression/decompression module 220 (hereinafter "codec module 220") and a remote message cache 200 with a remote input queue 201 and a remote output queue 202. The codec module 220 compresses messages transmitted to the wireless data processing device 130 and decompresses messages received from the data processing device 130 according to the techniques described herein. The remote message cache 200 temporarily stores messages transmitted to/from the data processing device 130 (e.g., using various cache replacement algorithms as described above). In one embodiment, the cache replacement policy implemented on the interface 100 is the same as the policy implemented on the wireless device 130 (i.e., so that cache content is synchronized between the remote cache 200 and the local cache 210).

[0029] Figures 3a-c illustrate an exemplary sequence of email messages which will be used to describe various aspects of the invention. **Figure 3a** illustrates the initial email message 300 in the sequence which (like most email messages) is logically separated into a header information portion 305 and a text information portion 310. Also shown in **Figure 3a** is an attachment 320, indicating that a document is attached to the message and an electronic signature which may be automatically inserted in the message by the sender's (i.e., John Smith's) email client.

[0030] **Figure 3b** illustrates the second email message 301 in the sequence transmitted by user Roger Collins in response to the initial email message. As indicated by the new header information 335, this message is transmitted directly to the initial sender, John Smith, and to a user who was CC'ed on the initial email message, Tom Webster. The message is also CC'ed to everyone else in the group to whom the initial message was transmitted. This "reply to all" feature, which is found in most email clients, provides a simple



mechanism for allowing a sequence of email messages to be viewed by a common group of individuals.

[0031] As illustrated in **Figure 3b**, the text 310 of the initial email message 300 is substantially reproduced in the new email message. This "reply with history" feature is also common to most email clients, allowing a sequence of comments from the individuals in the common group to be tracked from one email message to the next. Also illustrated are a plurality of characters 316 inserted by the responder's (Roger Collins') email system at the beginning of each line of the original email text. This feature, which is common in some (but not all) email systems, allows users to differentiate between new text and old text.

[0032] Accordingly, even after the initial email response in a sequence of emails, the email history (i.e., the portions of text and attachments reproduced from prior messages) represents a significant portion of the overall message, resulting in the transmission of a significant amount of redundant information being transmitted over the wireless network, in both the text portion of the email and the header portion of the email.

[0033] **Figure 3c** illustrates the final email message 302 in the sequence in which the addressee of the second email responds to the sender of the second email and CC's all of the other members in the group. As illustrated, the only non-redundant information in the email message 302 is a few lines of text 355. The email addresses of all of the group members are the same as in the previous two messages (although switched between different fields, the underlying addresses are the same) and the text and header information from the previous messages 300, 301, including the attachment 320 are reproduced, with only a few minor modifications (e.g., the additional ">" characters inserted by the email system).

[0034] One embodiment of the invention compresses email messages by taking advantage of this high level of redundancy. In particular, rather than sending the actual content contained in new email messages, portions of the new messages identified in previous email messages stored in the caches 200, 201 are replaced by pointers to the redundant portions. For example, in message 302 all of the redundant content from message 301 may be replaced by a pointer which identifies the redundant content in message 301 stored in the cache of the user's wireless device. These and other compression techniques will be described in greater detail below.

[0035] Figure 4 illustrates one embodiment of a method for compressing messages using redundant content found in previous messages. This embodiment will be described with respect to Figure 5, which illustrates certain aspects of the message interface 100 in greater detail. At 400, the interface 100 receives a message (or a group of messages) to be transmitted to a particular wireless data processing device 130. At 405, the message is analyzed to determine whether it contains redundant data found in previous messages. In one embodiment, this is accomplished via message identification logic 500 shown in Figure 5 which scans through previous email messages to locate those messages containing the redundant data.

[0036] Various message identification parameters 505 may be used by the message identification logic 500 to search for messages. For example, in one embodiment, the message identification logic will initially attempt to determine whether the new message is the latest in a sequence of messages. Various techniques may be employed by the message identification logic 500 to make this determination. For example, in one embodiment, the message identification logic 500 will search the subject field of the message for the strings which indicate the new message is a response to a prior message. If these strings are identified, the message identification logic 500 may then look for the most recent message in the sequence (e.g., based on the text found in the subject field). For example, referring back to the Figures 3a-c, upon

receiving message 302, the message identification logic 500 may identify the message 302 as part of a sequence based on the fact that it contains "RE: Patent Issues" in the subject field. The identification logic 500 may ignore the RE: (or FW: if the message is forwarded) and scan to the text in another message which matches the remainder of the subject field (i.e., "Patent Issues") and identify the most recent previous message containing that text in its subject header.

[0037] If the message subject does not contain characters such as RE: or FW: indicating that the message is part of a sequence, then message identification logic 500 may employ a different set of identification parameters 505 for identifying previous messages. For example, in one embodiment, the message identification logic 500 will search for the most recent message in which the sender of the new message is listed in the header (e.g., as the recipient). Moreover, the message identification logic 500 may search for certain keywords or combinations of words indicating that the message contains relevant data (e.g., such as the electronic signature 315 illustrated in Figures 3a-c). In one embodiment, the message identification logic 500 may generate a prioritized subset of messages which (based on the defined parameters 505) are the candidates most likely to contain content found in the new message.

[0038] If no redundant data exists in prior messages, determined at 410, then at 420 additional compression techniques are applied to compress the message, some of which are described below. If, however, redundant data exists in prior messages then, at 415, the redundant data is replaced with pointers/offsets identifying the redundant data on the cache 210 of the wireless device 130 (or in the cache 200 of the interface 100, depending on the direction of message transmission). As illustrated in Figure 5, in one embodiment, this is accomplished by state based compression logic 510 which generates the pointers/offsets using the messages identified by the message identification logic 500.

[0039] Figure 6 illustrates one embodiment of a state-based compression format generated by the state-based compression logic 510. As illustrated, the format is comprised of a one or more chunks of non-redundant data 601, 610, 620 separated by offsets 602, 612, lengths 603, 613, and message identification data 604, 614, which identify blocks of data from previous messages. For example, if the compression format of Figure 6 were used to encode message 302 shown in Figure 3c, the new text 302 might be stored as non-redundant data 601, whereas all of message 301 might be identified by a particular message ID 604, followed by an offset 602 identifying where to begin copying content from message 301 and a length 603 indicating how much content to read from the address point identified by the offset.

[0040] Similarly, if message 301 from Figure 3b were encoded by the state-based compression logic 510, the new text portion 340 might be stored as non-redundant data 601. Moreover, each of the ">" characters automatically inserted by the email system 316 might be transmitted as non-redundant data, separated by lines of redundant data identified by offsets and lengths (i.e., at the end of each redundant line in message 300 identified by lengths/offsets in the new message, a new, non-redundant ">" would be inserted).

[0041] In one embodiment, when a user has not received messages for a long period of time, numerous related messages (e.g., such as messages 300-302) may build up in his inbox on the email service 102. Accordingly, in one embodiment, the interface 100 will employ state-based compression techniques as described above using pointers to messages which have not yet arrived in the cache of the user's wireless device. That is, the interface 100 will determine where messages in the group (stored in the user's inbox on the service 102) will be stored in the cache 210 of the wireless data processing device 130 once the user re-connects to the service.

[0042] Referring once again to Figures 4 and 5, once the state-based compression logic 510 finishes compressing the message, the compressed

message 515 may be transmitted to the user's wireless device 130. Alternatively, at 420, additional compression techniques (described below) may be applied to compress the message further. Once the message is fully compressed it is transmitted to the wireless device (at 425) where it may be decompressed via codec module 225.

[0043] The state-based compression techniques were described above in the context of an interface 100 compressing messages before transmitting the messages to a wireless device 130. It will be appreciated, however, that the same compression techniques may be performed by the wireless device 130 before it transmits a message to the interface 100 (e.g., lengths/offsets may identify redundant data stored in the remote message cache 200). In addition, although described above with respect to email messages, the described compression techniques may be employed to compression various other message types (e.g., newsgroup articles, instant messages, HTML documents . . . etc).

#### SUPPLEMENTAL/ALTERNATIVE COMPRESSION TECHNIQUES

[0044] Various additional compression techniques may be employed, either in addition to or as an alternative to the state-based compression techniques just described.

[0045] In one embodiment of the invention, common characters and strings of characters (i.e., which are frequently transmitted between the wireless device 130 and the interface 100) are encoded using relatively small code words whereas infrequent characters or strings of characters are encoded using relatively larger code words. In order to encode data in this manner, a statistical analysis is performed to identify common character strings. Based on the statistical analysis, a lookup table similar to the one illustrated in Figure 7 is generated and maintained at both the wireless device 130 and the interface 100. As illustrated, certain character strings such as the domain used for corporate email "@good.com" and the first 6 digits of the corporate

telephone number, e.g., "(408) 720-" may be quite common. As such, replacing these common bit strings with relatively small code words may result in a significant amount of compression. Referring back to messages 300-302, using this compression technique, the domain "@good.com" encountered numerous times in each message header could be replaced by a short, several-bit code word.

[0046] In one embodiment, a different look up table may be generated for different *types* of data transmitted between the interface 100 and the wireless data processing device 130, resulting in greater precision when identifying common strings of characters. For example, a different set of code words may be used to compress email messages than that used to compress the corporate address book. Accordingly, the code word table used to compress email messages would likely contain relatively small code words for the most common email domains whereas the corporate address book might also contain relatively small code words for the corporate address and portions of the corporate phone number.

[0047] Moreover, in one embodiment, a unique code word table may be generated for each *field* within a particular type of data. For example, a different code word table may be employed for the email header field than that used for the remainder of the email message. Similarly, a different table may be generated for the "address" field of the corporate address book than that used for the "email address" field, resulting in even greater precision when generating the set of code words.

[0048] Rather than statistically generating and transmitting a code word table for each field, alternatively, or in addition, one embodiment of the invention refers to a dictionary of "known" words, like an English dictionary, and therefore does not need to transmit the dictionary with the data. For example, in one embodiment, a spell-check dictionary maintained on the wireless device 130 and/or the interface 100 may be used to compress

content. Rather than sending the actual text of the email message, each word in the message would be identified by its entry in the spell-check dictionary (e.g., the word "meeting" might be replaced by entry#3944).

[0049] One type of data particularly suitable to the foregoing types of compression is the corporate address book maintained on most corporate email servers. In one embodiment of the invention, the corporate address book is synchronized initially through a direct link to the client 110 (see Figure 1). On the initial synchronization (e.g., when the wireless device is directly linked to the client 110), statistics on common letters and "tokens" (e.g., names, area codes, email domains) are generated. The statistics and tokens are then used to compress the data as described above. Thereafter, any changes to the address book are wirelessly transmitted. On subsequent updates, the compressors on both sides (wireless device 130 and interface 100) would refer to the earlier statistics gathered, and thus compress without any new statistics or words being transmitted.

[0050] The updates may represent a small percentage of the entire address book, but may still represent a significant number of bytes, especially when multiplied by all the wireless devices in use in use at a given company. Accordingly, reducing the amount of data required to transmit the updates to the address book as described above, would result in a significant savings in transmission costs. Additionally, as the address book can be very large relative to the storage available on the client, storing the address book on the client in a compressed form will allow more entries to be stored.

[0051] In one embodiment, to conserve additional space, only certain fields of the corporate address book will be synchronized wirelessly. For example, only the Name, Address, Email, and Phone Number fields may be updated wirelessly. All fields of the address book may then be updated when the wireless device is once again directly linked to the client 110.

[0052] One embodiment of a method for generating a code word table is illustrated in Figure 8. At 810, occurrences of certain byte strings are calculated for use by a standard Huffman compression algorithm. At 820 certain "tokens" are generated for a particular field based on the natural boundaries for that field type. For example, as described above, email addresses could be broken into ".com" and "@good.com" as described above for email fields. Phone numbers might be broken into "(650)" and "(650) 620-" for address book fields.

[0053] At 830 the occurrences of tokens are counted in the same way as the occurrences of the byte strings are counted, though one occurrence of, say, a four-byte token adds four to the count. At 840 a code word table of all the letters and those tokens that occur more than once (or maybe the top N tokens that occur more than once) is generated. Part of the table will include the tokens themselves. At 850, each record is compressed using the code word table of characters and tokens and, at 860, the code word tables and the compressed records are then sent to the wireless device 130.

[0054] In one embodiment, the code word tables are identified with a unique number, such as a timestamp. Both the interface 100 and the wireless device 130 would store the tables. On the wireless device 130, the records may remain compressed to conserve space, being decompressed only when opened. On subsequent syncs, the wireless device 130 may request updates to the corporate dictionary. As part of the request, the wireless device 130 may include the unique number assigned to the code word tables. If, for some reason, the wireless device 130 doesn't have the original tables, it may send a particular type of ID to notify the interface 100 (e.g., by using a "0" for the ID). Likewise, if the host doesn't recognize the ID for some reason, it can ignore the original tables and create new ones.

[0055] In most cases, however, the wireless device 130 and interface 100 will agree on what the ID is, and the compression of the update will use the



existing code word tables previously computed. For example, a new employee with the same email domain and phone prefix as existing employees would compress nicely. Since the updates should be a small percentage of the overall address book, it will most likely be very similar to the existing data.

[0056] One embodiment of the invention converts alphanumeric characters (e.g., standard ASCII text) into a proprietary variable-bit character format, allocating relatively fewer bits for common characters and relatively more bits for uncommon characters. In one particular embodiment, 6 bits are allocated for most characters, and 12 bits are allocated for all other characters. This embodiment may be seamlessly integrated with the other forms of compression described above (e.g., message pointer generation, code word lookups, . . . etc) through an escape function described below.

[0057] Most messages will have ASCII text in them. For example, the TO: field in an email, or the name in an Address Book entry are generally comprised of ASCII text. Most ASCII text use 7 bits/character. Typical exceptions are accented characters, like ñ or ö. Realistically, though, most text in a text field consists of a-z, 0-9, space, and a few symbols.

[0058] Compressing text using code word tables as described above is a good way to encode large amounts of text, because it gathers statistics about how frequently a given character occurs, and represents more frequent characters in fewer bits. For example, the letter 'e' occurs more often than the letter 'k', so it may be represented in, say, 3 bits. It is also particularly suitable for compressing data in specific data fields where it is known that the same character strings appear regularly (e.g., such as the email domain "@good.com"). One problem with this technique, however, is that it requires transmitting and storing the statistical information with the encoded text. For small amounts of text (e.g., short email messages), this becomes impractical.

[0059] A 6-bit character format provides for 64 characters ( $2^6=64$ ). In one embodiment, the following symbols are encoded using 6-bits: a zero, handy for denoting the end of strings; 'a' through 'z'; '0' through '9'; space; and the most common symbols (e.g., dot, comma, tabs, new-lines, @, parens, !, colon, semicolon, single, double quotes, . . . etc). The values above account for 48 of the 64 values, leaving 16 values remaining.

[0060] In one embodiment, the remaining 16 values are used for the following escape values:

(1) Four values for combining with the next 6-bits to allow any possible ASCII value to be encoded in two 6-bit values. It allows for any upper case letter, symbols not in the top ten, accented characters, and so on. For example, binary values of 60, 61, 62, and 63 may each identify another 6-bit value which contains the underlying character information. This provides for the coding of an additional 256 characters ( $4 * 64 = 256$ ), more than enough to encode the entire US-ASCII character set.

(2) Shift Lock. Turns on shifting until a subsequent Shift Lock turns off shifting. For letters, this is like a caps lock. For numbers and symbols, this may have no effect. Alternatively, a second set of values may be defined when shift lock is on (e.g., a second "top ten" list of symbols).

[0061] In one embodiment, the remaining 11 6-bit characters are "installable escape values," allowing one or more standard or custom compressors. For example, the TO:, FROM:, CC:, and BCC: fields in an email all contain a list of email addresses, separated by a semicolon. As such, the following special escape values may be defined: (1) the customer's/user's email address may be converted into a 6-bit value; (2) the customer's/user's domain may be converted into a 6-bit value (e.g., "@Good.Com" would become 6 bits); (3) "common" domain names and suffixes may be converted into a 6-bit value and a 6-bit argument (e.g., the "common" list may be 64 of the most common names, and might include "@aol.com", "@webtv.com", ".com", ".net", ".org", ".gov", ".us", ".uk", . . . etc); and (4) names "used

recently" in an email may be converted into a 6-bit value and a 6-bit argument. Elsewhere in the message is the email ID this is dependent on. The argument might include 2 bits identifying the field (TO:, FROM:, CC:, or BCC:), and 4 bits identifying the first 16 email addresses in that field.

[0062] The new character format may be employed seamlessly with the other types of compression described above (e.g., code words, repeated characters; LZ compression; dictionary lookups; and/or referring to prior messages). In one embodiment, illustrated in Figure 9, a text compression module 900 compresses text according to the 6-bit character format described above and coordinates compression functions between various other compression modules. In the illustrated embodiment, this includes a state-based compression module 910 for compressing messages by referring to prior, cached messages (as described above) and a code word compression module 920 which compresses common character strings using code words (e.g., by encoding statistically-analyzed tokens, referring to a spell-check dictionary, . . . etc, as described above). In addition, as indicated by alternative compression module 930, various other types of compression may be employed on the system to attain an even greater level of compression (e.g., standard LZ compression).

[0063] Figure 10 illustrates an exemplary portion of email message 302 (from Figure 3c) encoded according to this embodiment of the invention. Starting from the upper right corner of the email message 302, the text compression module 900 begins encoding the first set of characters (i.e., starting with the addressee field "TO:"). With each character it coordinates with the other compression modules 910, 920, 930 to determine whether those modules can achieve greater compression. If not, then the text compression module 900 encodes the text according to the 6-bit character format. If a higher level of compression can be achieved with one of the other compression modules 910, 920, 930, however, the text compression module 900 hands off the compression task to that module and inserts an "escape"

sequence of bits indicating where the compression task was accomplished by that module.

[0064] For example, as illustrated in Figure 10, the escape sequence "110010" following the first three characters ("TO:") indicates that the code word generation module 920 compresses the subsequent portion of data. In operation, once this point in the email message is reached, the code word generation module 920 notifies the text compression module 900 that it can achieve a higher level of compression using code words (e.g., using a tokenized email address). Accordingly, the sequence "1011001000" following the escape sequence "110010" is a code word representing the tokenized email address "Collins, Roger" <rcollins@good.com>. Alternatively, two or more code words may be used to encode the email address, depending on the particular set of code words employed by the system (e.g., one for the individual's name and a separate one for the domain "@good.com"). As indicated in Figure 10, the text compression module 900 may then pick up the encoding process following the tokenized email address (i.e., the return character followed by the text "FROM:").

[0065] After the email header information is encoded, the block of new text 355 is encoded using the 6-bit character format. Of course, depending on the code words employed by the code word generation module 920 and/or previous emails on the system, portions of the block of new text 355 may also be encoded using code words and/or pointers to previous messages. Following the text block 355, the state-based compression module 910, after analyzing the message, notifies the text compression module 900 that it can achieve a higher level of compression by identifying content found in a previous message. As such, an escape sequence "110011" is generated indicating that compression is being handled by the state-based compression module 910 from that point onward. The state-based compression logic 910 then identifies a previous email message using a message ID code (indicating message 301), and generating an offset and a length indicating specific content

within that email message (e.g., employing one or more of the state-based compression techniques described above).

[0066] It should be noted that the specific example shown in Figure 10 is for the purpose of illustration only. Depending on the code words employed by the system and/or the previous messages stored on the system, the actual encoding of the email message 302 may turn out to be different than that illustrated. For example, as mentioned above, the block of text 355 may be encoded using code words and/or pointers to previous messages as well as the 6-bit character format.

[0067] Various supplemental/alternative compression techniques may also be employed (e.g., represented by alternate compression module 930). In one embodiment, certain types of data are not transmitted wirelessly between the wireless data processing device 130 and the interface 100. For example, in one embodiment, when a device has been unable to receive messages for a certain period of time (e.g., one week), only message headers are initially transmitted to the device 130, thereby avoiding an unreasonably long download period (i.e., wherein all messages received over the period of unavailability are transmitted to the device). Alternatively, or in addition, in one embodiment, when the device is out of touch for an extended period of time, only relatively new messages (e.g., received over a 24-hour period) are transmitted to the device when it comes back online. Similarly, in one embodiment, only email header information is transmitted to the wireless device 130 (e.g., indicating the subject and the sender) when the user is a CC addressee and/or when the email is from a folder other than the user's inbox.

[0068] In one embodiment, only certain fields are updated on the device 130. For example, with respect to a corporate or personal address book, only Name, Email Address and Phone Number fields may be synchronized on the device 130. When the device is connected directly to the client, all of the fields may then be updated.

[0069] In one embodiment, certain details are stripped from email messages to make them more compact before transmitting them to the device 130. For example, only certain specified header information may be transmitted (e.g., To, From, CC, Date, Subject, body, . . . etc). Similarly, the subject line may be truncated above a certain size (e.g., after 20 characters). Moreover, attachments and various formatting objects (e.g., embedded pictures) may not be transmitted. In one embodiment, when a user lists him/herself as a CC addressee on an outgoing message, this message will not be retransmitted back to the wireless device 130.

[0070] Although attachments may not be transmitted to the wireless device 130, in one embodiment, users may still forward the attachments to others from the wireless device (the attachments will, of course, be stored on the email server). Moreover, in one embodiment, attachments may be sent to a fax machine in response to a user command from the wireless device 130. Accordingly, if a user is away from the office and needs to review a particular attachment, he can type in the number of a nearby fax machine and transmit this information to the interface 100. The interface 100 will then open the attachment using a viewer for the attachment file type (e.g., Word, Power Point, . . . etc) and transmit the document via a fax modem using the fax number entered by the user. Thus, the user may view the attachment without ever receiving it at the device.

[0071] Embodiments of the invention may include various steps as set forth above. The steps may be embodied in machine-executable instructions. The instructions can be used to cause a general-purpose or special-purpose processor to perform certain steps. Alternatively, these steps may be performed by specific hardware components that contain hardwired logic for performing the steps, or by any combination of programmed computer components and custom hardware components.

[0072] Elements of the present invention may also be provided as a machine-readable medium for storing the machine-executable instructions. The machine-readable medium may include, but is not limited to, floppy diskettes, optical disks, CD-ROMs, and magneto-optical disks, ROMs, RAMs, EPROMs, EEPROMs, magnetic or optical cards, propagation media or other type of media/machine-readable medium suitable for storing electronic instructions. For example, the present invention may be downloaded as a computer program which may be transferred from a remote computer (e.g., a server) to a requesting computer (e.g., a client) by way of data signals embodied in a carrier wave or other propagation medium via a communication link (e.g., a modem or network connection).

[0073] Throughout the foregoing description, for the purposes of explanation, numerous specific details were set forth in order to provide a thorough understanding of the invention. It will be apparent, however, to one skilled in the art that the invention may be practiced without some of these specific details. For example, while illustrated as an interface 100 to a service 102 executed on a server 103 (see Figure 1), it will be appreciated that the underlying principles of the invention may be implemented on a single client in which the client forwards data over a network. Moreover, although described in the context of a wireless data processing device, the underlying principles of the invention may be implemented to compress data in virtually any networking environment, both wired and wireless. Accordingly, the scope and spirit of the invention should be judged in terms of the claims which follow.

## CLAIMS

What is claimed is:

1. A method for compressing a message comprising:  
identifying a first field and a second field within said message;  
applying a first set of code words to encode data in said first field; and  
applying a second set of code words to encode data in said second field.
2. The method as in claim 1 further comprising:  
generating said first set of code words based on the frequency with  
which character strings represented by said code words are found within said  
first field; and  
generating said second set of code words based on the frequency with  
which character strings represented by said code words are found within said  
second field.
3. The method as in claim 2 wherein character strings which are  
relatively more common within said first field are represented by relatively  
shorter code words in said first set of code words and character strings which  
are relatively more common within said second field are represented by  
relatively shorter code words in said second set of code words.
4. The method as in claim 1 wherein said first field is an email  
header field and said second field is an email text field.
5. The method as in claim 1 wherein said first field is an address  
book field and said second field is an email message field.



6. The method as in claim 1 further comprising:  
encoding ASCII text in said message in a 6-bit character format.
7. The method as in claim 6 further comprising:  
providing one or more 6-bit escape sequences indicating that code following said sequence represents data compressed using a particular compression technique.
8. The method as in claim 6 wherein relatively common characters are encoded using 6 bits and relatively uncommon characters are encoded using two successive sequences of 6 bits.
9. A method comprising:  
generating a first code word table containing code words for a plurality of character strings found in a first message field;  
generating a second code word table containing code words for a plurality of character strings found in a second message field; and  
encoding character strings in said first field using said first code word table and character strings in said second field using said second code word table.
10. The method as in claim 9 further comprising:  
initially performing a statistical analysis of character strings found in said first message field and said second message field to determine a frequency of occurrence of each of said character strings.
11. The method as in claim 10 wherein character strings occurring relatively more frequently in said first field and said second field are associated with relatively shorter code words in said first code word table and said second code word table, respectively.

12. The method as in claim 9 wherein said first field is an email address field.

13. The method as in claim 12 wherein said second field is an address book address field.

14. The method as in claim 9 further comprising:  
encoding said message further using one or more alternate compression techniques.

15. The method as in claim 14 wherein one of said alternate compression techniques comprises converting ASCII characters into a 6-bit character format.

16. The method as in claim 14 wherein one of said techniques comprises identifying strings in said first or second fields based on a location of said strings in a spell-check dictionary.

17. A method for compressing a message comprising:  
replacing character strings within said message with data identifying a location of said character strings within a spell check dictionary stored on a data processing device.

18. The method as in claim 17 wherein said message is an email message.

19. The method as in claim 17 further comprising:  
using one or more alternate compression techniques to further compress said message.

20. The method as in claim 19 wherein one of said alternate compression techniques is a Huffman coding technique.

21. The method as in claim 19 wherein one of said alternate compression techniques comprises converting ASCII text to a 6-bit character format.

22. A machine readable medium having program code stored thereon which, when executed by a machine, causes said machine to perform the operations of:

- identifying a first field and a second field within said message;
- applying a first set of code words to encode data in said first field; and
- applying a second set of code words to encode data in said second field.

23. The method as in claim 22 comprising additional program code to cause said processor to perform the operations of:

- generating said first set of code words based on the frequency with which character strings represented by said code words are found within said first field; and

- generating said second set of code words based on the frequency with which character strings represented by said code words are found within said second field.

24. The machine-readable medium as in claim 23 wherein character strings which are relatively more common within said first field are represented by relatively shorter code words in said first set of code words and character strings which are relatively more common within said second field are represented by relatively shorter code words in said second set of code words.

25. The machine-readable medium as in claim 22 wherein said first field is an email header field and said second field is an email text field.

26. The machine-readable medium as in claim 22 wherein said first field is an address book field and said second field is an email message field.

27. The machine-readable medium as in claim 22 comprising additional program code to cause said processor to perform the operations of:  
encoding ASCII text in said message in a 6-bit character format.

28. The machine-readable medium as in claim 27 comprising additional program code to cause said processor to perform the operations of:  
providing one or more 6-bit escape sequences indicating that code following said sequence represents data compressed using a particular compression technique.

29. The machine-readable medium as in claim 27 wherein relatively common characters are encoded using 6 bits and relatively uncommon characters are encoded using two successive sequences of 6 bits.

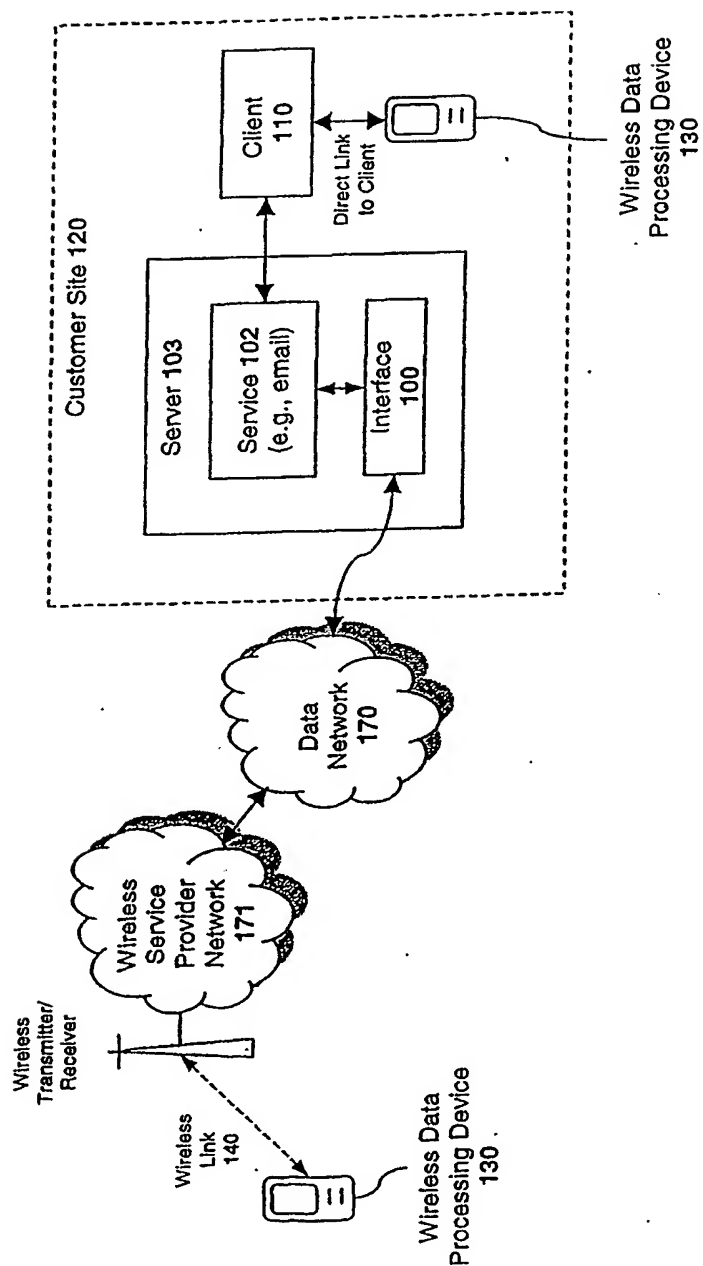


Fig. 1

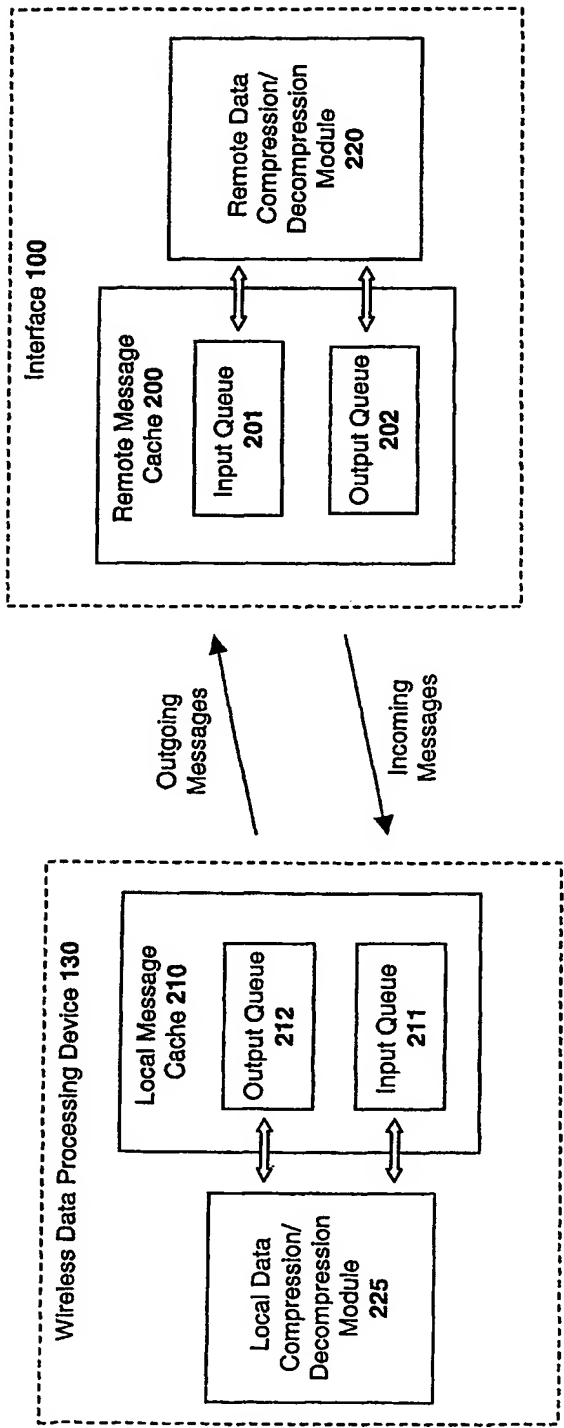


Fig. 2

3/12

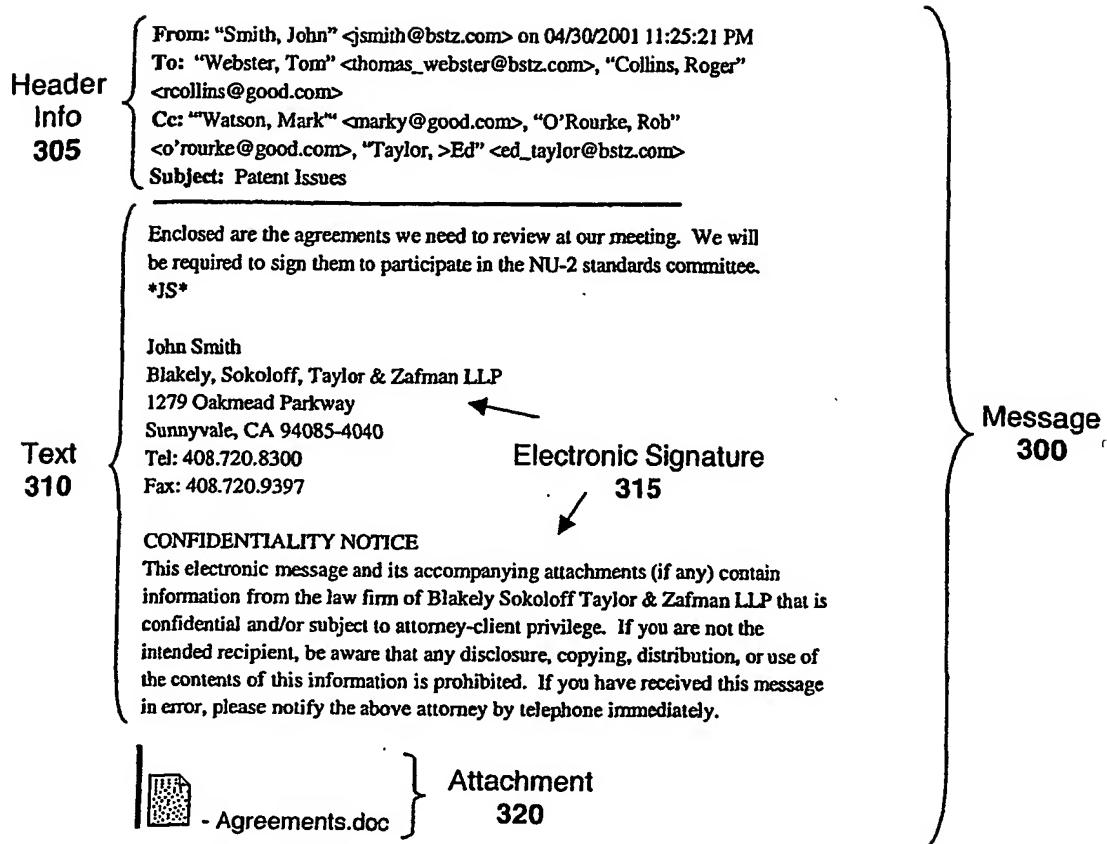


FIG. 3a

4/12

Header  
Info  
335

From: "Collins, Roger" <rcollins@good.com>  
 Sent: Tuesday, May 01, 2001 9:55 AM  
 To: "Webster, Tom" <thomas\_webster@bstz.com>, "Smith, John" <jsmith@bstz.com>  
 Cc: "Watson, Mark" <marky@good.com>, "O'Rourke, Rob" <o'rourke@good.com>, "Taylor, Ed" <ed\_taylor@bstz.com>  
 Subject: RE: Patent Issues

Text  
340

Tom, in the meantime, it would be helpful if you could identify whether Good is likely to have any patents or patent applications that might fall within the scope of the various license back provisions in any of these agreements as outlined in the summary.

Characters inserted  
by email system 316

> From: "Smith, John" <jsmith@bstz.com> on 04/30/2001 11:25:21 PM  
 > To: "Webster, Tom" <thomas\_webster@bstz.com>, "Collins, Roger" <rcollins@good.com>  
 > Cc: "Watson, Mark" <marky@good.com>, "O'Rourke, Rob" <o'rourke@good.com>, "Taylor, >Ed" <ed\_taylor@bstz.com>  
 > Subject: Patent Issues

> Enclosed are the agreements we need to review at our meeting. We will  
 > be required to sign them to participate in the NU-2 standards committee.  
 > \*JS\*

> John Smith  
 > Blakely, Sokoloff, Taylor & Zafman LLP  
 > 1279 Oakmead Parkway  
 > Sunnyvale, CA 94085-4040  
 > Tel: 408.720.8300  
 > Fax: 408.720.9397

> CONFIDENTIALITY NOTICE  
 > This electronic message and its accompanying attachments (if any) contain information > from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential and/or > subject to attorney-client privilege. If you are not the intended recipient, be aware that > any disclosure, copying, distribution, or use of the contents of this information is > prohibited. If you have received this message in error, please notify the above attorney > by telephone immediately.



- Agreements.doc

Attachment  
320Message  
301Message  
300

FIG. 3b



5/12

Header  
Info  
350

To: "Collins, Roger" <rcollins@good.com>  
 From: "Webster, Tom" <thomas\_webster@bstz.com>  
 cc: "Smith, John" <jsmith@good.com>, "Watson, Mark" <marky@good.com>,  
 "O'Rourke, Rob" <o'rourke@good.com>, "Taylor, Ed" <ed\_taylor@bstz.com>  
 Subject: RE: Patent Issues

Text  
355

Roger,  
 The license-back provisions in these agreements do not look like a problem to me. We  
 can discuss the reasons why at the meeting.  
 -Tom

-----Original Message-----

From: "Collins, Roger" <rcollins@good.com>  
 Sent: Tuesday, May 01, 2001 9:55 AM  
 To: "Webster, Tom" <thomas\_webster@bstz.com>, "Smith, John" <jsmith@good.com>  
 Cc: "Watson, Mark" <marky@good.com>, "O'Rourke, Rob" <o'rourke@good.com>,  
 "Taylor, Ed" <ed\_taylor@bstz.com>  
 Subject: RE: Patent Issues

Tom, in the meantime, it would be helpful if you could identify whether  
 Good is likely to have any patents or patent applications that  
 might fall within the scope of the various license back provisions in any  
 of these agreements.

> From: "Smith, John" <jsmith@bstz.com> on 04/30/2001 11:25:21 PM  
 > To: "Webster, Tom" <thomas\_webster@bstz.com>, "Collins, Roger"  
 > <rcollins@good.com>  
 > Cc: "Watson, Mark" <marky@good.com>, "O'Rourke, Rob"  
 > <o'rourke@good.com>, "Taylor, Ed" <ed\_taylor@bstz.com>  
 > Subject: Patent Issues

Message  
301

> Enclosed are the agreements we need to review at our meeting. We will  
 > be required to sign them to participate in the NU-2 standards committee.  
 > \*JS\*

> John Smith  
 > Blakely, Sokoloff, Taylor & Zafman LLP  
 > 1279 Oakmead Parkway  
 > Sunnyvale, CA 94085-4040  
 > Tel: 408.720.8300  
 > Fax: 408.720.9397

> CONFIDENTIALITY NOTICE  
 > This electronic message and its accompanying attachments (if any) contain  
 information > from the law firm of Blakely Sokoloff Taylor & Zafman LLP  
 that is confidential and/or > subject to attorney-client privilege. If you are not  
 the intended recipient, be aware that  
 > any disclosure, copying, distribution, or use of the contents of this  
 information is  
 > prohibited. If you have received this message in error, please notify the  
 above attorney  
 > by telephone immediately.

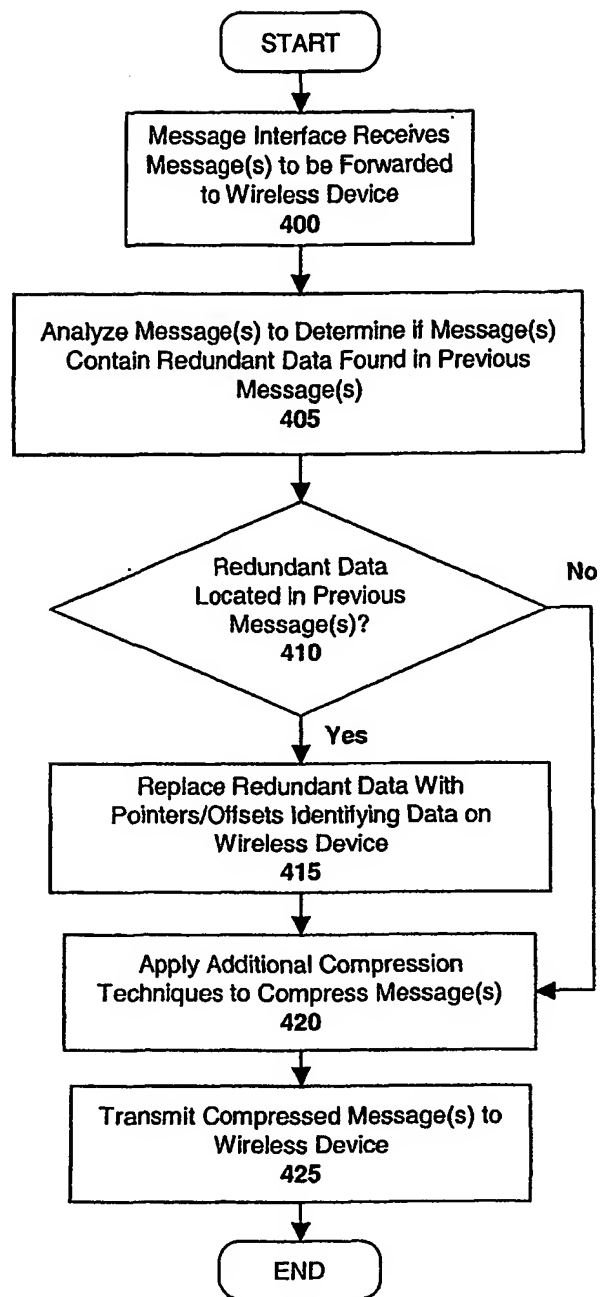


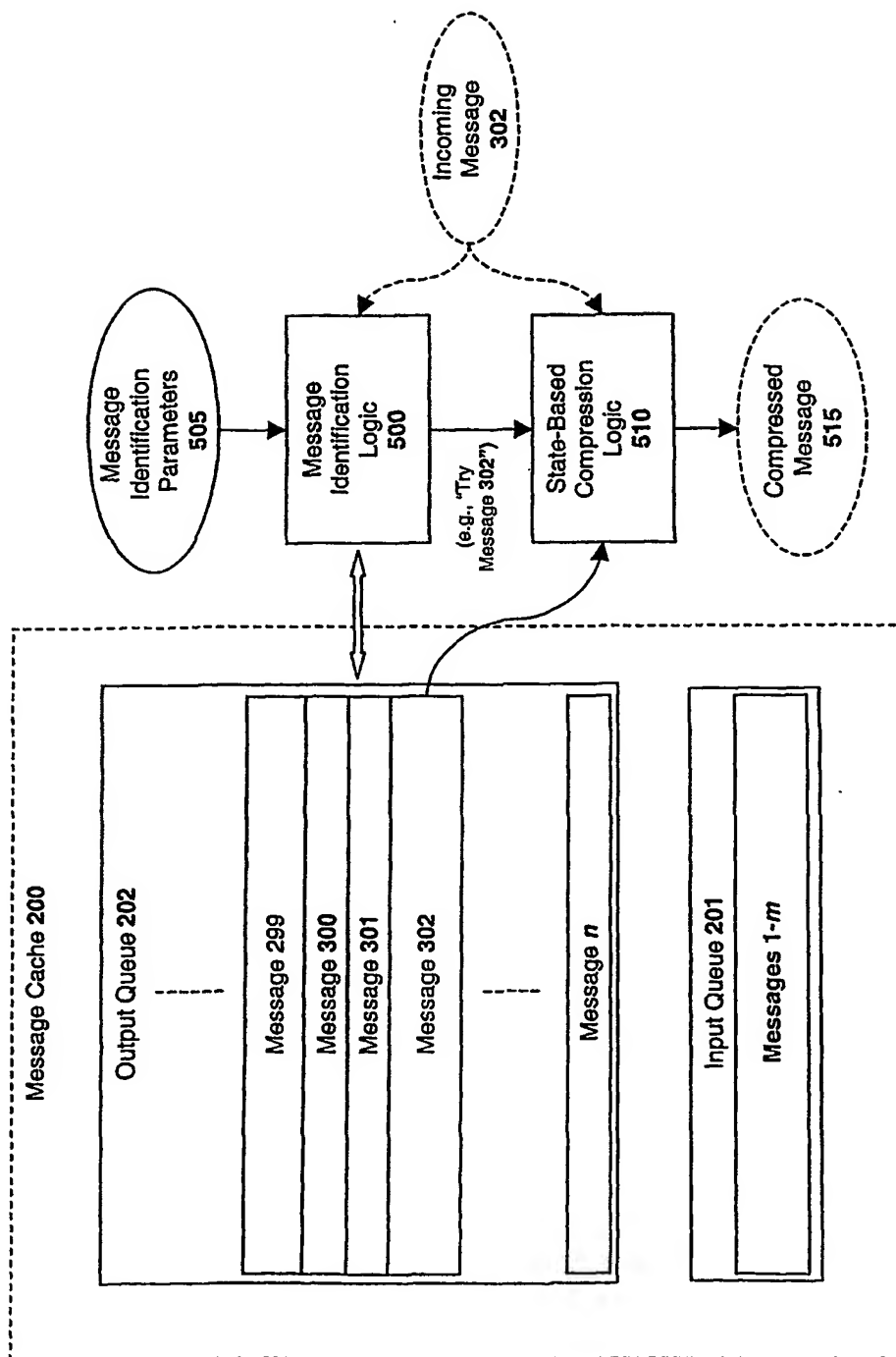
- Agreements.doc

Attachment  
320Message  
302

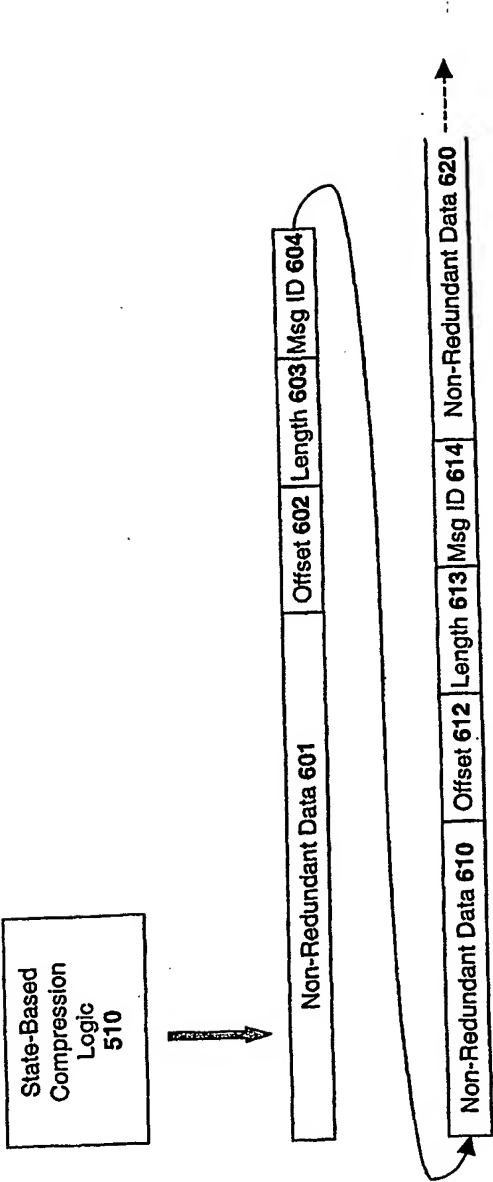
FIG. 3c

6/12

**Fig. 4**



**Fig. 5**



State-Based Compression Format

Fig. 6

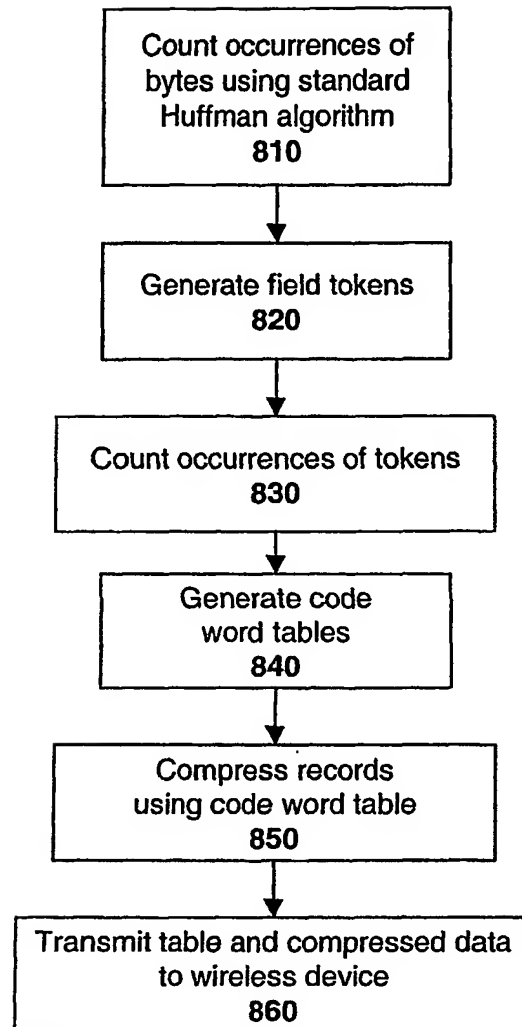
9/12

Symbol	Probability	Code Word
@good.com	.2	00
(408) 720-	.1	10
(650)	.05	11
@bstz.com	.05	010
94086	.04	011

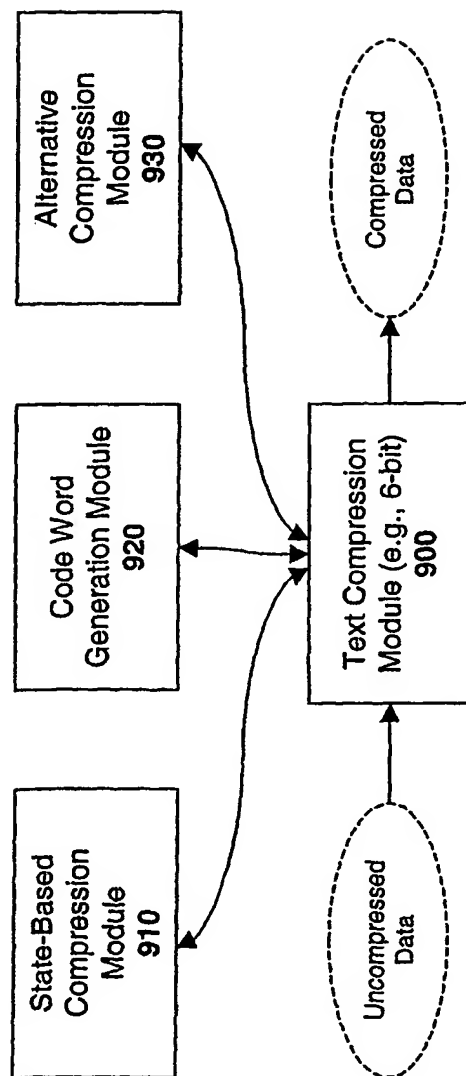
Code Word Table

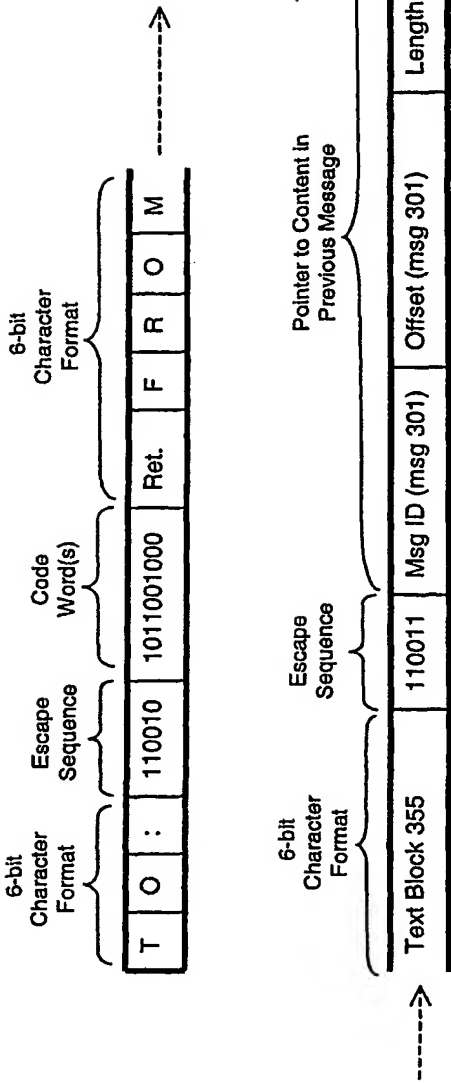
**Fig. 7**

10/12

**Fig. 8**

11/12

**Fig. 9**



Encoded Message 302

Fig. 10



## INTERNATIONAL SEARCH REPORT

 Intern      Application No  
 PCT/US 02/21548

 A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7      H03M7/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

 Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7      H03M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 909 037 A (ADVANTEST CORP) 14 April 1999 (1999-04-14) page 11, line 52 -page 12, line 13 page 13, line 21 - line 45 figures 2,3,10,11	1-16, 22-29
X	US 5 771 010 A (MASENAS CHARLES J) 23 June 1998 (1998-06-23) column 1, line 12 -column 3, line 34	17-21
X	US 5 841 376 A (HAYASHI TAKAAKI) 24 November 1998 (1998-11-24) column 5, line 1 -column 6, line 20 figures 1,2	17-21
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

15 November 2002

Date of mailing of the international search report

25/11/2002

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Barel-Faucheux, C

## INTERNATIONAL SEARCH REPORT

Intern Application No  
PCT/US 02/21548

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 469 161 A (BEZEK JOHN D) 21 November 1995 (1995-11-21) column 5, line 66 -column 6, line 7 figures 2,4	1-16, 22-29
X	US 5 903 230 A (MASENAS CHARLES J) 11 May 1999 (1999-05-11) the whole document	1-16, 22-29
X	EP 0 666 651 A (HEWLETT PACKARD CO) 9 August 1995 (1995-08-09) the whole document column 1, line 30 -column 2, line 13 figure 1	1-16, 22-29
X	US 6 218 970 B1 (JAQUETTE GLEN ALAN) 17 April 2001 (2001-04-17) the whole document column 3, line 3 - line 27 figure 1	1-16, 22-29

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

Inten I Application No  
**PCT/US 02/21548**

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0909037	A	14-04-1999	EP 0909037 A1 WO 9843359 A1 KR 2000010935 A	14-04-1999 01-10-1998 25-02-2000
US 5771010	A	23-06-1998	JP 3303225 B2 JP 8274649 A US 5903230 A	15-07-2002 18-10-1996 11-05-1999
US 5841376	A	24-11-1998	JP 3273119 B2 JP 9153818 A DE 19622045 A1	08-04-2002 10-06-1997 03-04-1997
US 5469161	A	21-11-1995	NONE	
US 5903230	A	11-05-1999	US 5771010 A JP 3303225 B2 JP 8274649 A	23-06-1998 15-07-2002 18-10-1996
EP 0666651	A	09-08-1995	US 5455576 A DE 69518022 D1 DE 69518022 T2 EP 0666651 A2 JP 3309028 B2 JP 7273667 A	03-10-1995 24-08-2000 21-12-2000 09-08-1995 29-07-2002 20-10-1995
US 6218970	B1	17-04-2001	NONE	